



## 香港證券交收指示表格

### Hong Kong Securities Settlement Instruction Form (SI/ISI)

帳戶姓名 Account Name: \_\_\_\_\_ 帳戶號碼 Account No.: \_\_\_\_\_

交收指示 Settlement Instruction	
交收日期 Settlement Date:	
交收方法 Settlement Method:	<input type="checkbox"/> 股票交收指示 SI <input type="checkbox"/> 投資者交收指示 ISI
指示類別 Instruction Type:	<input type="checkbox"/> 存入股票 Receive Stock(s) <input type="checkbox"/> 提取股票 Deliver Stock(s)
收款/付款指示 Payment Instruction:	請選擇其中一項 Please select ONE of the choices <input type="checkbox"/> 無需付款交收 Free of Payment (FOP) <input type="checkbox"/> 貨銀對付交收 Deliver versus Payment (DVP)

交收對手資料 Counterparty Details	
中央結算編號 CCASS ID:	
公司名稱 Counterparty Name :	
聯絡人姓名 Name of Contact Person:	
聯絡電話/電郵 Contact No./Email:	

證券資料 Stocks Details				
股票號碼 Stock Code	股票名稱 Stock Name	數量 Quantity	公司內部專用 For Office Use ONLY	
			交收銀碼 Settlement Amount	收費 Handling Fee:
1.				\$
2.				\$
3.				\$
4.				\$
5.				\$
總數 Total				\$ _____

本人/吾等承諾，如因執行上述交收指示可能令貴公司蒙受或承擔的任何費用或開支，本人/吾等同意作出彌補。I/We hereby undertake to indemnify and keep your Company indemnified in respect of any costs and expenses, whatsoever which may be suffered or incurred by your Company in connection with the above settlement instructions.

客戶於簽署本表格後，即表示客戶聲明股票的實益擁有權不會因本交收指示的執行而更改。By signing this form, the client declared that there is no change of beneficial ownership through effecting this stock transfer.

客戶簽署 Client's Signature:

日期 Date:

S.V.

Received by:	Inputted by:	Checked by:	Approved by:
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